



**TIP GUIDE : SAM EXCLUSIONS**  
(SYSTEM FOR AWARD MANAGEMENT)

# Introduction

- Modio's OneView<sup>®</sup> system is integrated with the SAM (System for Award Management) database. SAM provides information about providers who have been excluded from federal contracts due to misconduct or other issues.
- SAM is only available in V2. We automatically run monthly updates for SAM during the middle of each month. During monthly runs, the SAM integration searches for your providers based on their name and SSN.

## How to run a successful report:

- In order to run a successful SAM search, you will need to ensure that there is an SSN on the provider's profile in the Provider Info grid. This ensures that we are only returning results that are guaranteed to be your provider. Unlike our other exclusion list updater (OIG), we do not have a match status for SAM; this is because SAM does not let us search by NPI or date of birth,
- so there is no possibility of a partial match.  
If you need to run aliases on SAM, you can add them as "Other Name" or "Query Only" in the Additional Names section. Our updater can run up to 15 names, including the primary name on the account. These names will be run in conjunction with the provider's SSN.

## SAM report outcomes:

- If no results are found when you run the updater, you will see the provider's SAM Status update to Pass. This is the ideal status for a provider, since it means that no exclusions for them have been reported to SAM.
- If results are found, you will see the provider's SAM Status update to Fail, and a SAM Number will be recorded on the provider's profile. This indicates that at least one exclusion for the provider has been reported to SAM, and additional investigation is needed. It is possible for multiple agencies to have reported exclusions to SAM; if this is the case, the updater will return information for all of these exclusions.

The screenshot shows the Modio Health Carbon Demo dashboard. At the top, there is a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below this, the user profile 'Noah Lam' is visible. The main content area is titled 'All Providers' and includes a search bar for 'Search a Provider Name or NPI...'. A 'Sections (1)' button is located on the right side of the dashboard. A modal window is open, displaying a list of sections with checkboxes. The 'SAM' checkbox is checked and highlighted with a red box. A red arrow points from the 'Sections (1)' button to the 'SAM' checkbox. The modal window also contains sections for 'PROVIDER INFO', 'ACTIONS & EXCLUSIONS', 'WORK EXPERIENCE', 'CERTIFICATIONS', 'EDUCATION & TRAINING', 'LICENSURE', 'MALPRACTICE INSURANCE', and 'DOCUMENTS'. The 'SAM' checkbox is located under the 'ACTIONS & EXCLUSIONS' section.

## Locating the SAM Updater

Start by clicking the Sections button on the right hand side of the dashboard.

Next, select the **SAM** checkbox in the V2 Sections menu. This will allow the SAM grid to display for your team.

modio

New Features Modio U Support Megan Peters






Team Forms Tracking Logins Tasks Notes

Modio Health QA (San Francisco, CA) Add Provider +

All Providers Search a Provider Name or NPI... Sections (1)


Actions & Exclusions

SAM 18 Pass 6 Fail 156 Total

Provider Name	Title	Primary Specialty	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated	Actions
> Gelpi, Juan	MD	Colorectal Surgery	No	Name + SSN		Pass	11/10/2022	
> Marten, Lily	MD	Family Medicine	No	Name + SSN		Scheduled	No History	
> Adesso, Thomas	PTAAS	Case Management - ...	No	Name + SSN		Scheduled	No History	
> Goodson, Landon	PTAAS	No Specialty	No	Name + SSN		Scheduled	No History	
> Kanakeswaran, Kanagasabai	MD	Internal Medicine	No	SAM Number	S4MRBF4T7	Fail	11/09/2022	

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## Manually Running the SAM Updater

Find your provider in the list and click on the  updater icon. SAM will then begin to run.

Manually running the updater will automatically generate and save a PSV document.

Monthly automated runs do not include a PSV document. Use the V2 grid level reporting option to view or save monthly run history.

The screenshot displays the Modio Health QA interface for the SAM (Self-Assessment Module) section. At the top, there's a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below that, the user is logged in as 'Megan Peters'. The main content area shows 'Modio Health QA (San Francisco, CA)' and an 'Add Provider +' button. A search bar is present for 'Search a Provider Name or NPL...'. The 'All Providers' section is active, showing a summary for 'SAM' with '4 Pass', '0 Fail', and '6 Total' results. A table lists providers with the following columns: Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions. A box highlights the 'Aliases' and 'Search Criteria' columns. A line points from the text on the right to the 'Aliases' column.

Provider Name	Title	Primary Specialty	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated	Actions
Jones, Tester	MD	Internal Medicine	Yes	Name + SSN + 1 Aliases		Pass	08/01/2022	
Dudley Burrell, Helen	FNP-C	Family Nurse Practitio...	Yes	Name + SSN + 1 Aliases		Scheduled	No History	
Pou, Nelson	MD	Obstetrics and Gynec...	Yes	Name + SSN + 7 Aliases		Scheduled	No History	
Aaron, Joshua	MD	Pulmonary Medicine	Yes	Name + SSN + 1 Aliases		Pass	08/25/2022	
Anderson, Brenda	PT	Physical Therapy	Yes	Name + SSN + 1 Aliases		Pass	11/10/2022	
Gilmore, Gail	OPA	Neonatal-Perinatal M...	Yes	Name + SSN + 1 Aliases		Pass	11/10/2022	

## Returning Alias Information

Understand whether your SAM search was run with aliases in these columns. You can also view how many aliases were used within the search criteria.

## Modify Search Criteria


Open detail view to see the criteria used for this search. Change the search name or include a known SAM number to check for different results on the SAM website.

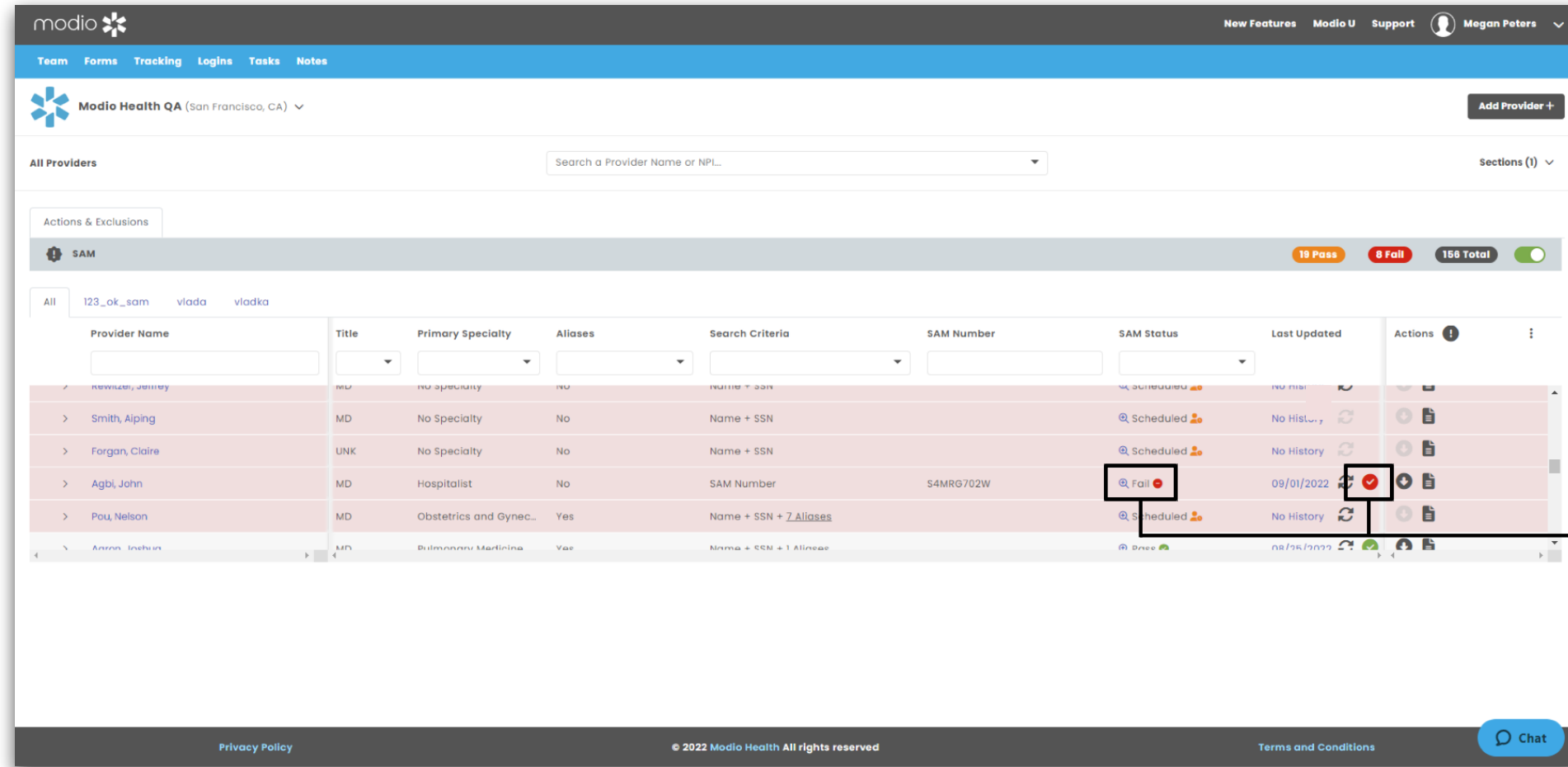
This section will include a list of aliases included in the search (added through Additional Names). Use the Update Provider Info link to modify the SSN on file for the provider.



The screenshot shows the Modio Health QA interface. At the top, there's a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below that, the user is logged in as 'Megan Peters'. The main header shows 'Modio Health QA (San Francisco, CA)' and an 'Add Provider +' button. A search bar for providers is present. The 'Actions & Exclusions' section is active, showing 'SAM' with a toggle switch and summary: '16 Pass', '6 Fail', '158 Total'. Below this, there are filter tabs for 'All', '123\_ok\_sam', 'vlada', and 'vladka'. A table lists providers with columns: Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions. The first row is for 'Gelpi, Juan', MD, Colorectal Surgery, No, Name + SSN, with a 'Pass' status and a green checkmark. Below the table is an 'Exclusion Agency' section with columns: Exclusion Agency, Exclusion Type, Exclusion Program, Exclusion Status, Active Date, Termination Date, Last Name, First Name, Middle Name. It shows 'No Rows To Show'. The footer contains 'Privacy Policy', '© 2022 Modio Health All rights reserved', 'Terms and Conditions', and a 'Chat' button.

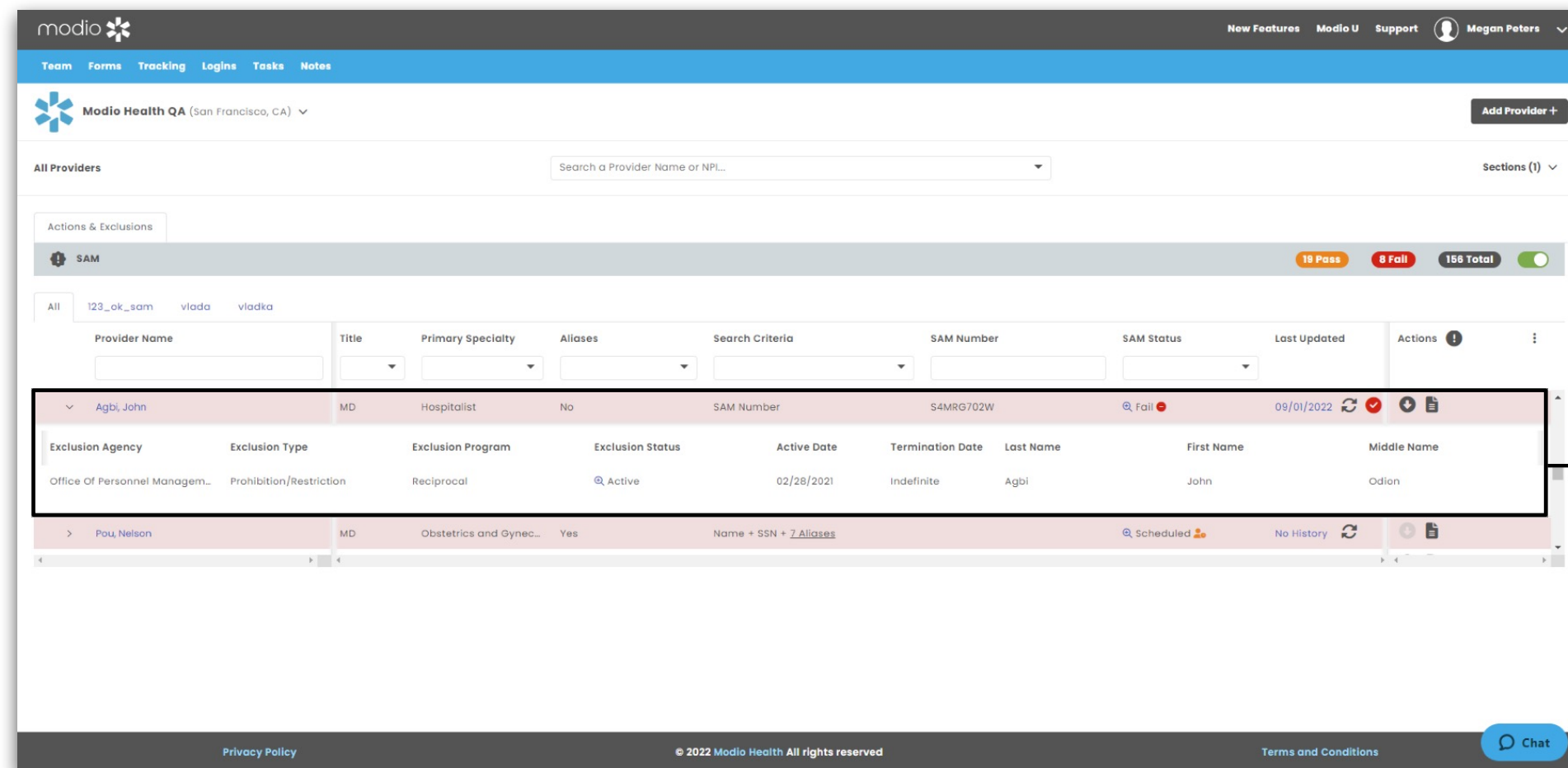
## Understanding SAM Search Results - Pass

If there are no results found for your provider, the SAM Status field will update to "Pass," and you will see a green checkmark  indicating a successful Carbon run with no exclusions found.



## Understanding SAM Search Results - Fail (Single Result)

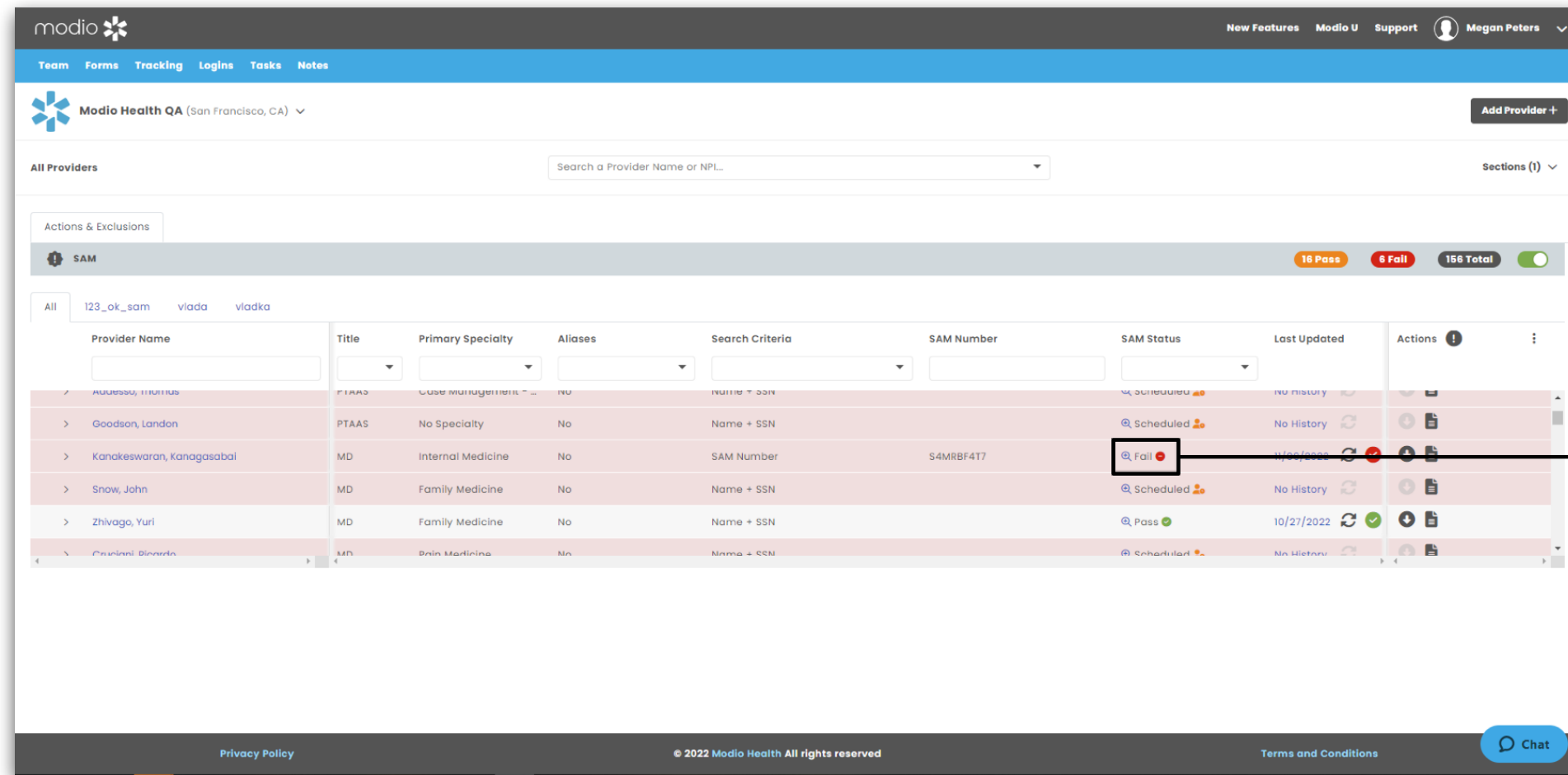
If one result is found for your provider, the SAM Status field will update to "Fail," and you will see a red checkmark indicating a successful Carbon run with at least one exclusion found.



## Understanding SAM Search Results - Fail (Single Result in detailed view)

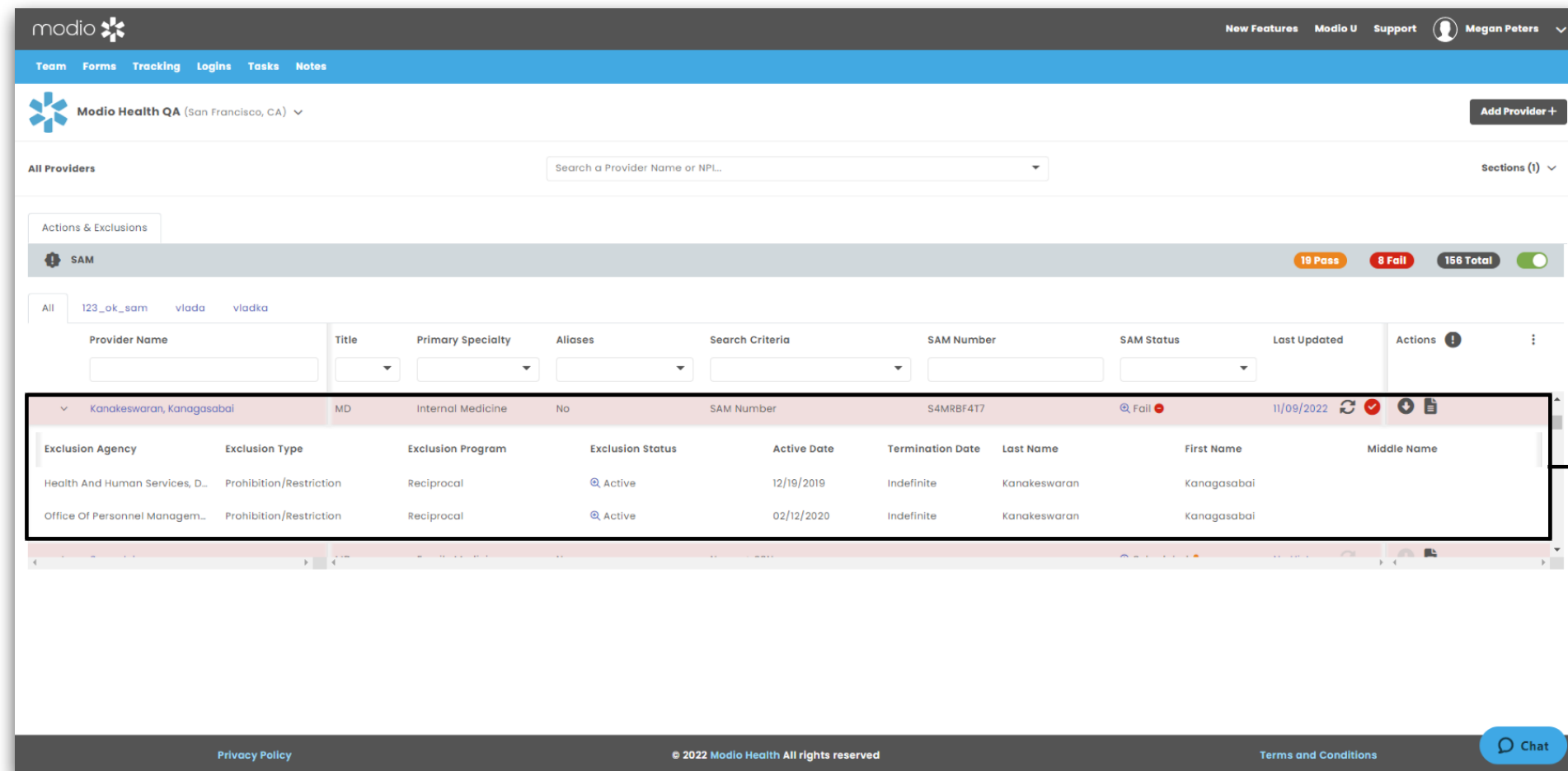
To see details about the exclusion, click on the caret to the left of the Provider Name field. This will expand additional details, such as the Exclusion Agency, Exclusion Status, and Active Date.





### Understanding SAM Search Results - Fail (Multiple Results)

If more than one result is found for your provider, the SAM Status field will update to "Fail," and you will see a red checkmark indicating a successful Carbon run with at least one exclusion found.



### Understanding SAM Search Results - Fail (Multiple Results in detailed view)

To see details about the exclusions, click on the caret to the left of the Provider Name field. You will see a new line item in the expanded fields for each exclusion, separated by Agency Name. Note that details for each exclusion may be different, depending on what information was reported by each agency.

The screenshot shows the Modio Health QA interface. At the top, there's a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below that, the 'Modio Health QA (San Francisco, CA)' header is visible. A search bar for 'All Providers' is present. The main content area shows a 'SAM' section with a summary: '16 Pass', '6 Fail', and '156 Total'. Below this is a table of providers with the following columns: Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions. The table lists several providers, including Juan Gelpi, Lily Marten, Thomas Addresso, Landon Goodson, and Kanagasabai Kanakeswaran. The 'Actions' column for Kanagasabai is highlighted with a box, showing a 'Download' icon.

## Verification Documents - Part I

The updater will automatically upload a verification PDF containing the search results to your provider's Documents section if the updater was run **manually**.

You can view the PDF by clicking on Download button .

If you want to see previous documents, click on the Tags, Notes, Docs icon and locate the version of the PDF that you need.

**Important** - Monthly runs will run automatically,, but the PSV document will only save when the updater is selected manually.

**Edit SAM for John Agbi MD**

Tag(s)  
Input tag(s)...

Notes

Public 0 Team 0

(0 of 3000)

Drop documents here  
or Click here to browse

PDF, DOCX, TXT, RTF, GIF, JPG, PNG

Name	Document Type	Permission	Date	Exp. Date	Actions
SAM Agbi John 09-01-2...	SAM Verification	Public	09/01/2022		Active

Cancel Save

## Verification Documents - Part II

The verification PDF will contain a screenshot of the main SAM search page, and if the provider has any exclusions found, it will also contain a detail page for each exclusion. For your safety, we have blinded all but the last 4 digits of the provider's SSN in the PDF.

Modio Health QA (San Francisco, CA)

All Providers

Search a Provider Name or NPI...

16 Pass 8 Fail 156 Total

Provider Name	Title	Primary Speciality	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated	Actions
Gelpl, Juan	MD	Colorectal Surgery	No	Name + SSN		Pass	11/10/2022	
Marten, Lily	MD	Family Medicine	No	Name + SSN		Scheduled	No History	
Addesso, Thomas	PTAAS	Case Management - ...	No	Name + SSN		Scheduled	No History	
Goodson, London	PTAAS	No Speciality	No	Name + SSN		Scheduled	No History	
Kanakawaran, Kanagasabai	MD	Internal Medicine	No	SAM Number	S4MRBF4T7	Fail	11/09/2022	

## Audit History

To see data about previous SAM searches, click on the date value in the **Last Updated** field. This will open the Audit History modal.

SAM for Nelson Pou MD

Record Details Created By Noah Lam (Admin) on 04/07/2021, 3:41 PM

Record ID	454036
Provider Name	Nelson Pou
Provider ID	305309
SAM Number	

SAM History	Prior Record	Current Record
Date Updated	11/11/2022, 4:26 PM	11/11/2022, 4:26 PM
Revision ID	1-39689404	305309
Update Source	OneView V2	OneView V2
Updated By (Role)	Megan Peters (C)	Megan Peters (C)
SAM Status	Pass	Pass
Exclusion Agency	No Exclusions	No Exclusions
Last Name		
First Name		
Middle Name		
Exclusion Type		

Data about the current SAM search will display on the right hand side. You can toggle through the Date Updated menu to see a comparison of data returned from previous SAM searches. If a provider has more than one exclusion on file, you can also toggle through the Exclusion Agency menu to see the data for each exclusion.

## FAQ

### **Q: What's the difference between SAM and OIG?**

A: SAM and OIG are both databases that contain information about federal-level exclusions. OIG exclusions block individuals from participating in federally funded healthcare programs. SAM exclusions block individuals from engaging in federal contracts, which do not need to be healthcare-specific.

### **Q: Why can't I use NPI or date of birth to complete a SAM search?**

A: The SAM database does not allow us to enter NPI or date of birth as search parameters; only the provider name and SSN can be used. This is why we do not have a Match status like OIG. When you run a SAM search, you can trust that the results are for your provider only, because the search includes the SSN as a unique identifier.

### **Q: Is there a scheduled run for SAM searches like there is for OIG?**

A: Yes! We have added a monthly scheduled SAM run and it is already in place. SAM will run once a month, in the middle of the month. View your SAM grid in V2. If you need help locating your SAM grid, adjust your V2 grid settings or see page 3 of this guide for help.

### **Q: Why does my provider have multiple exclusions on file?**

A: SAM records data from multiple government agencies, and each agency reports its own unique exclusion data. This means your provider might have more than one SAM exclusion on file, each for a different agency. If one or more exclusions appear for your provider, this means they have failed the SAM search.

### **Q: Can I run the SAM updater or see SAM results in V1?**

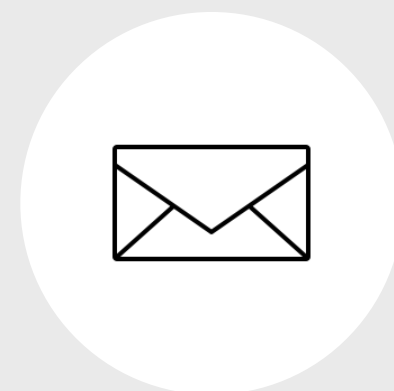
A: SAM is a V2 only updater. However, any documents that you produce as part of a SAM verification will be accessible in the Documents section of the provider profile in both V1 and V2.

For additional questions or further training, contact the Modio Team:

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